

Re: PaxysInc._SEC Form 17C Certification of Independent Director_21March2024

ICTD Submission <ictdsubmission+canned.response@sec.gov.ph>

Thu 3/21/2024 5:08 PM

To:Investor Relations <investor_relations@paxys.com>

Thank you for reaching out to ictdsubmission@sec.gov.ph!

Your submission is subject for Verification and Review of the Quality of the Attached Document only for Secondary Reports. The Official Copy of the submitted document/report with Barcode Page (Confirmation Receipt) will be made available after 7 working days via order through the SEC Express at <https://secexpress.ph/>. For further clarifications, please call (02) 8737-8888.

----- NOTICE TO
COMPANIES -----

Please be informed of the reports that shall be filed only through ictdsubmission@sec.gov.ph.

Pursuant to SEC MC Circular No. 3 s 2021, scanned copies of the printed reports with wet signature and proper notarization shall be filed in PORTABLE DOCUMENT FORMAT (pdf) through email at ictdsubmission@sec.gov.ph such as the following SECONDARY REPORTS:

1. 17-A 6. ICA-QR 11. IHAR 16. 39-AR 21. Monthly Reports
2. 17-C 7. 23-A 12. AMLA-CF 17. 36-AR 22. Quarterly Reports
3. 17-L 8. 23-B 13. NPM 18. PNFS 23. Letters
4. 17-Q 9. GIS-G 14. NPAM 19. MCG 24. OPC (Alternate Nominee)
5. ICASR 10. 52-AR 15.BP-FCLC 20.S10/SEC-NTCE-EXEMPT

Further, effective 01 July 2023, the following reports shall be submitted through <https://efast.sec.gov.ph/user/login>.

1. FORM MC 18 7. Completion Report
2. FORM 1 - MC 19 8. Certificate-SEC Form MCG- 2009
3. FORM 2- MC 19 9. Certificate-SEC Form MCG- 2002, 2020 ETC.
4. ACGR 10. Certification of Attendance in Corporate Governance
5. I-ACGR 11. Secretary's Certificate Meeting of Board Directors (Appointment)
6. MRPT

Please be informed that the submission of the abovementioned eleven (11) reports through the ictdsubmission@sec.gov.ph shall no longer be accepted. For further information, please access this link Notice for guidance on the filing of reports:

Likewise, the following reports shall be filed through the Electronic Filing and Submission Tool (eFAST) at <https://efast.sec.gov.ph/user/login> :

COVER SHEET

S.E.C. Registration Number

(Company's Full Name)

(Business Address, No. Street City/Town/Province)

MAYETTE H. TAPIA

Contact Person

8 2 5 0 3 8 0 0

Company Telephone Number

Month

Day

Fiscal Year

Certificate of Independent Director

FORM TYPE

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

CERTIFICATION OF INDEPENDENT DIRECTOR

I, **JOSE ANTONIO A. LICHAUCO**, Filipino, of legal age and a resident of _____, after having been duly sworn to in accordance with law, do hereby declare that:

1. I am one of the nominees as Independent Director of Paxys, Inc. (hereinafter, the "Company") for the year 2024. I have been one of its independent directors since May 2004 to December 2020, and have been reappointed as such for years 2021 to 2023 as approved by the stockholders during the annual stockholders' meeting in compliance with the Company's By-Laws and Manual on Corporate Governance.

2. I am affiliated with the following companies and organizations (including Government-Owned and Controlled Corporations):

| Company/ Organization | Position/ Relationship | Period of Service |
|---------------------------------------|------------------------|-------------------|
| Asian Alliance Investment Corporation | President | 2005 to Present |
| Automated Technology (Phil.), Inc. | Director and Treasurer | 2000 to Present |

3. I possess all the qualifications and none of the disqualifications to serve as an Independent Director of Paxys, Inc., as provided for in Section 38 of the Securities Regulation Code and its Implementing Rules and Regulations and other SEC issuances.

4. I am not related to any director, officer or substantial shareholder of Paxys, Inc. and its subsidiaries and affiliates other than the relationship provided under Rule 38.2.3 of the Implementing Rules of the Securities Regulation Code (Republic Act 8799).

5. To the best of my knowledge, I am not the subject of any pending criminal or administrative investigation or proceeding.

6. I am neither an officer nor an employee of any government agency or government-owned and controlled corporation.

7. I shall faithfully and diligently comply with my duties and responsibilities as independent director under the Securities Regulation Code and its Implementing Rules and Regulations, Code of Corporate Governance and other SEC issuances.

8. I shall inform the Corporate Secretary of Paxys, Inc. of any changes in the abovementioned information within five (5) days from its occurrence.

DONE this MAR 21 2024 at Makati City.


JOSE ANTONIO A. LICHAUCO

MAR 21 2024

at MAKATI CITY

SUBSCRIBED AND SWORN to before me this _____ at _____, affiant exhibiting to me his Philippine Passport No. _____ by DFA _____ on 7 August _____ which expires on 6 August _____.

Doc. No. 61 ;
Page No. 14 ;
Book No. 14 ;
Series of 2024.

ATTY. JOEL FERRER FLORES
Notary Public for Makati City
Until December 31, 2024
Appointed by No. M-117 (2021)
P.O. Box No. 10776
MCLE Compliance VIII No. 09-1393-
J.N. 3, 2023 until Apr. 12, 2028
PTR NO. 10073945/ Jan. 2, 2024/ Makati City
IBR No. 330740/ Jan. 2, 2024/ Pasig City
1107 Bataan St., Guadalupe Nuevo, Makati City